

FORM PTO-1449		Atty. Docket No.: M61.12-0355	Appl. No.: 09/934,313
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		First Named Inventor:	
		Ye Yi Wang	
		Filing Date	Group Art:
		August 21, 2001	2644

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA						
AB						
AC						
AD						
AE						
AF						
AG						

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AH						
AI						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

T3	AJ	Alabiso, B. et al. "LEAP: Language Enabled Applications," Proceedings of the First Workshop on Human-Machine Conversation, Bellagio, Italy (July 1997).
T3	AK	Lavie, A., "GLR*: A Robust Parser for Spontaneous Spoken Language," Proceedings of ESSLLI-96 work-shop on Robust Parsing, Prague, Czech Republic (August 1996).
T3	AL	Miller, S. et al., "Hidden Understanding Models of Natural Language," Proceedings of the 31 st Annual Meeting of the Association for Computational Linguistics, New Mexico State University (1994).
T3	AM	Ward, W., "The CMU Air Travel Information Service: Understanding Spontaneous Speech," Proceedings of the DARPA Speech and Natural Language Workshop (1990).
T3	AN	Ward, W. "Understanding Spontaneous Speech: the Phoenix System," IEEE International Conference on Acoustics, Speech and Signal Processing (1991).
T3	AO	Microsoft Speech SDK with SAPI 5.0, 94 pages (September 1999).
P	AP	Wang, K., "Implementation of Multimodal Dialog System Using Extended Markup Languages," 6 pages (undated).

EXAMINER:

DATE CONSIDERED:

3-25-05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	Atty. Docket No.: M61.12-0355	Appl. No.: 09/934,313
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:	
	Ye Yi Wang	
	Filing Date	Group Art:
	August 21, 2001	2644

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
BA						
BB						
BC						
BD						
BE						
BF						
BG						

RECEIVED
JUL 16 2002
Technology Center 2600

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
BH						
BI						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

53	BJ	Wang, K., "A Plan-based Dialog System With Probabilistic Inferences," 5 pages.
79	BK	Wang, K., "An Event-Driven Model for Dialogue System," Proc. ICSLP-98, (Dec. 1998).
77	BL	Gorin, A. et al., "How May I Help You?" Speech Communications, Vol. 23, (1997).
73	BM	"A Stochastic Model of Human-Machine Interaction for Learning Dialog Strategies," IEEE Transactions on Speech and Audio Processing, Vol. 8, No. 1, pages 11-23, (January 2000).
73	BN	"The Thoughtful Elephant: Strategies for Spoken Dialog Systems," IEEE Transactions on Speech and Audio Processing, Vol. 8, No. 1, pages 51-62, (January 2000).
73	BO	"JUPITER: A Telephone-Based Conversational Interface for Weather Information," IEEE Transactions on Speech and Audio Processing, Vol. 8, No. 1, pages 85-96, (January 2000).
73	BP	Wang, Y. "Robust Language Understanding in MIPAD," 4 pages (undated).

EXAMINER:

DATE CONSIDERED: 3-28-05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.